# Protecting the ORAL HEALTH of All By Donald L. Chi, DDS, PMD

SEALANTS ARE AN INTEGRAL PART OF COMPREHENSIVE CARIES PREVENTION AND MANAGEMENT.

# Dental caries is the most common pediatric disease in the United States and is a significant public health problem for vulnerable children, especially children from

low-income households, ethnic/racial minorities, and those with special health care needs or chronic health conditions. To combat the caries epidemic, dental professionals must effectively utilize all of the prevention and management tools in their armamentarium, including pit-and-fissure sealants.<sup>1</sup>

# **CLINICAL EFFECTIVENESS**

In 2008, the American Dental Association (ADA) published clinical recommendations based on a systematic literature review that demonstrated the effectiveness of sealants.<sup>2</sup> A 2008 Cochrane Review reported pooled relative risks

associated with permanent molar sealants that ranged from 0.13 to 0.40,<sup>3</sup> indicating that sealants protect against tooth decay. Permanent molar sealants result in fewer subsequent treatment needs<sup>4</sup> and are cost-effective when provided to high-risk children.<sup>5,6</sup> Another study concluded that permanent molar sealants can be cost-saving when placed in children with previous restorations.<sup>7</sup> Studies have focused exclusively on permanent molar sealants. There are no comparable cost-effectiveness studies on primary molar sealants to date, but research is currently underway.

## DISPARITIES IN ORAL HEALTH

Although sealants have proven efficacy, National Health and Nutrition Examination Survey data indicate that poor children with the greatest need for preventive care are the least likely to receive dental sealants. For example, twice as many children age 2 to 4 from poor households have either caries that has been treated or untreated tooth decay (34.1% and 28.4%) as children from nonpoor households (14.5% and 11.6%).<sup>8</sup> However, only half as many poor

DONALD L. CHI, DDS, PhD, is an assistant professor of oral health sciences at the University of Washington (UW) School of Dentistry in Seattle. He also holds adjunct appointments in UW School of Dentistry's Department of Pediatric Dentistry and UW School of Public Health's Department of Health Services. Chi is board certified both in pediatric dentistry and dental public health. His research is funded by the National Institute of Dental and Craniofacial Research and the William T. Grant Foundation and focuses on the social and behavioral determinants of oral health in Medicaid-enrolled children and adolescents. Chi teaches graduate-level coursework in public health and spends 1 day a week treating children and adolescents in clinic.



#### Sealants

children receive sealants compared to their nonpoor peers (21% and 42.4%, respectively).<sup>8</sup> Disparities in the receipt of preventive care may contribute to children's oral health disparities.

Most sealants are provided in dental office settings. Thus, improving sealant utilization rates for socioeconomically vulnerable children, such as those enrolled in state Medicaid dental programs, will involve concerted efforts to increase the number of children who have access to school-based preventive programs or receive professional oral health care services in a dental home.

## **CLINICAL INDICATIONS**

There are two main clinical indications for sealants. The first is the presence of a pit and fissure located on the occlusal surface of molars,

Dental health providers must be prepared to have potentially difficult conversations with parents about the health risks and benefits associated with sealants.

the buccal surface of mandibular molars, or the lingual surface of maxillary molars. Pit and fissures may also be present on the occlusal surface of premolars and the lingual surface of maxillary incisors and canines. The second indication is that the pit and fissure must be at risk for tooth decay. This includes pit and fissures that are deep, stained, or difficult to keep plaque-free.

Numerous studies support sealing noncavitated caries lesions that extend into enamel or dentin, as long as the pit and fissure isn't cavitated and the tooth is not symptomatic.<sup>9,10</sup> Sealing over caries is clinically effective and benefits patients by eliminating the need for local anesthesia and invasive treatments, as well as dental practices by reducing chair time devoted to restoring teeth. State Medicaid dental programs may also benefit due to a decrease in the costs associated with subsequent restorative care. The decision to seal a tooth also involves consideration of caries risk factors beyond physical tooth-level attributes, including those that exist at the patient-, family-, and community-levels.<sup>11</sup>

Dental health providers need to adopt formal caries risk assessment systems to help determine a child's caries risk, though the lack of evidence regarding the validity of such systems has been noted.<sup>12</sup> Another limitation is that existing caries risk assessment systems may not be helpful in identifying high caries risk subgroups among lowincome children because patients from low socioeconomic backgrounds are all classified as high risk.

## **STAY INFORMED**

Implementing up-to-date clinical guidelines on dental sealants is also important. A recent study reported that fewer than 40% of dentists in

the US seal noncavitated caries lesions.<sup>13</sup> A New Hampshire-based study found that Medicaid-enrolled children seen by a general dentist are significantly less likely to receive sealants than those seen by a pediatric dentist.<sup>14</sup> Another study showed that dentists' existing practice behaviors are a major barrier to sealant guideline adherence.<sup>15</sup> It is likely that many dental health providers, especially general practitioners, rely on clinical techniques learned in school without updating practice patterns based on new scientific evidence.<sup>16,17</sup> One-time continuing education courses may not be sufficient to change provider behaviors in ways that improve the health of patients, though additional studies are needed on this topic.<sup>18</sup> Dental curricula should be continuously updated to reflect new scientific evidence, and strategies must be developed to facilitate rapid implementation of evidence into dental practice.

#### EXPANDING THE SCOPE OF PRACTICE

Studies suggest that sealants delivered within school settings are more cost-effective than sealants delivered in public or private settings.<sup>19</sup> Care delivery efficiency can be improved when sealants are provided in places where children spend most of their time rather than requiring them to travel to dental offices. School-based sealant programs may help to improve sealant utilization rates without increasing costs. Quality assessment protocols need to be implemented within school-based sealant programs to ensure that highrisk children receive sealants, to monitor sealant integrity over time, and to ensure optimal oral health outcomes. There is also a need to support innovative workforce-based solutions, including expanding the scope of practice of dental auxiliaries and deploying dental therapists in geographically or socioeconomically isolated areas in which there are dental workforce shortages.

## WORKING WITH PARENTS

Studies indicate that parents of young children have limited knowledge about sealants.<sup>20</sup> This lack of knowledge is a barrier to parent acceptance of sealant treatment for their children.<sup>21</sup> When sealants are indicated, dental health providers need to explain to the parent and the child why the teeth are being sealed, how sealants prevent decay, technical steps involved in sealing teeth (eg, using "tell, show, do"), and reinforce the need for high-quality oral hygiene behaviors at home. Explaining the importance of dental visits is also critical, so that sealants can be monitored and reapplied if necessary. Some parents may express concerns associated with the safety of dental sealants, especially with bisphenol A derivatives.<sup>22</sup> Dental health providers must be prepared to have potentially difficult conversations with parents about the health risks and benefits associated with sealants.

## ADDITIONAL PREVENTIVE STRATEGIES

Sealants alone are not likely to be sufficient in preventing tooth decay, especially among high-risk children. A 2010 Cochrane Review reported that sealing permanent first molars is more effective than fluoride varnish at preventing caries.<sup>23</sup> However, an older study from 1984 reported that first permanent molar sealants and fluoride varnish applications every 6 months are more effective than

the varnish application alone at preventing decay.<sup>24</sup> While relevant studies have not yet been conducted, additional preventive chemotherapeutics, such as povidone iodine and diammine silver fluoride, used in conjunction with sealants may be necessary to prevent caries in high-risk children. Additional research is needed on the effectiveness of combined preventive approaches. In addition, factors such as poor dietary behavior and inadequate exposure to topical fluorides are directly related to caries. Thus, parents must be motivated to ensure that their children engage in behaviors that promote good oral health.

## MEDICAID POLICY CONSIDERATIONS

All state Medicaid programs reimburse dental health providers for sealants on permanent molars for children, but only one in three programs reimburse for primary molar sealants.<sup>25</sup> The ADA clinical recommendations on dental sealants recommend sealing primary molars,<sup>2</sup> but these recommendations are based on data extrapolated from permanent teeth. There is currently very little published research on primary molar sealants. Because of federal budget cuts and limited financial resources, policymakers may increasingly insist on scientific evidence to justify coverage of dental services provided to socioeconomically vulnerable enrollees. This will require dental

#### REFERENCES

1. American Academy of Pediatric Dentistry. Guideline on caries-risk assessment and management for infants, children, and adolescents. *Pediatr Dent.* 2012;34(Suppl):118–125.

2. Beauchamp J, Caufield PW, Crall JJ, et al. Evidence-based clinical recommendations for the use of pit-and-fissure sealants: a report of the American Dental Association Council on Scientific Affairs. *J Am Dent Assoc.* 2008;139:257–268.

3. Ahovuo-Saloranta A, Forss H, Walsh T, et al. Sealants for preventing dental decay in the permanent teeth. *Cochrane Database Syst Rev.* 2013;3:CD001830.

4. Bhuridej P, Damiano PC, et al. Natural history of treatment outcomes of permanent first molars: a study of sealant effectiveness. *J Am Dent Assoc.* 2005;136:1265–1272.

5. Dennison JB, Straffon LH, Smith RC. Effectiveness of sealant treatment over five years in an insured population. *J Am Dent Assoc.* 2000;131:597–605.

6. Quiñonez RB, Downs SM, Shugars D, Christensen J, Vann WF Jr. Assessing cost-effectiveness of sealant placement in children. *J Public Health Dent*. 2005;65:82–89.

7. Weintraub JA, Stearns SC, Burt BA, Beltran E, Eklund SA. A retrospective analysis of the cost-effectiveness of dental sealants in a children's health center. *Soc Sci Med.* 1993;36:1483–1493.

8. Dye BA, Thornton-Evans G. Trends in oral health by poverty status as measured by Healthy People 2010 objectives. *Public Health Rep.* 2010;125:817–830.

9. Mertz-Fairhurst EJ, Schuster GS, Fairhurst CW. Arresting caries by sealants: results of a clinical study. *J Am Dent Assoc.* 1986;112:194–197.

10. Borges BC, de Souza Borges J, Braz R, Montes MA, de Assunção Pinheiro IV. Arrest of non-cavitated dentinal occlusal caries by sealing pits and fissures: a 36-month, randomised controlled clinical trial. *Int Dent J.* 2012;62:251–255.

11. Rethman J. Trends in preventive care: caries risk assessment and indications for sealants. J Am Dent Assoc. 2000;131(Suppl):8S–12S.

12. Tellez M, Gomez J, Pretty I, Ellwood R, Ismail A. Evidence on existing caries risk assessment systems: are they predictive of future caries? *Community Dent Oral Epidemiol.* 2012 Sep 15. [Epub ahead of print].

13. Tellez M, Gray SL, Gray S, Lim S, Ismail AI. Sealants and dental caries: dentists' perspectives on evidence-based recommendations. *J Am Dent Assoc.* 2011;142:1033–1040.

researchers to continue conducting cost and outcomes analyses of preventive care provided to Medicaid-enrolled children and to help disseminate research findings. Dental health providers must stay current on the scientific literature so that accurate information can be provided to those involved in drafting Medicaid dental policies and legislation.

#### CONCLUSION

Pit-and-fissure sealants are an important part of the prevention armamentarium. Dental health providers can help vulnerable children benefit from sealants by remaining knowledgeable on sealant indications, communicating knowledge about sealants to parents, and ensuring that children receive sealants, as well as other types of professional preventive care. They must also work with parents to ensure that children engage in home-based behaviors that promote optimal oral health, such as toothbrushing with fluoride toothpaste and limiting intake of fermentable carbohydrates. Finally, dental health professionals should work with local communities to implement and grow school-based sealant programs and convey up-to-date scientific knowledge about sealants to policymakers and stakeholders. Increasing the number of socioeconomically vulnerable children who receive pit-and-fissure sealants will play an important role in reducing children's oral health disparities.

14. Chi D, Milgrom P. Preventive dental service utilization for Medicaid-enrolled children in New Hampshire: a comparison of care provided by pediatric dentists and general dentists. *J Health Care Poor Underserved*. 2009;20:458–472.

15. O'Donnell JA, Modesto A, Oakley M, Polk DE, Valappil B, Spallek H. Sealants and dental caries: Insight into dentists' behaviors regarding implementation of clinical practice recommendations. *J Am Dent Assoc.* 2013;144:e24–e30.

16. Seale NS, Kendrick AG. A survey of pediatric dentists' management of dental caries in children three years of age or younger. *Pediatr Dent.* 2001;23:211–216.

17. Straub-Morarend CL, Marshall TA, Holmes DC, Finkelstein MW. Toward defining dentists' evidence-based practice: influence of decade of dental school graduation and scope of practice on implementation and perceived obstacles. *J Dent Educ.* 2013;77:137–145.

18. Firmstone VR, Elley KM, Skrybant MT, Fry-Smith A, Bayliss S, Torgerson CJ. Systematic review of the effectiveness of continuing dental professional development on learning, behavior, or patient outcomes. *J Dent Educ.* 2013;77:300–315.

19. Zabos GP, Glied SA, Tobin JN, et al. Cost-effectiveness analysis of a schoolbased dental sealant program for low-socioeconomic-status children: a practicebased report. *J Health Care Poor Underserved*. 2002;13:38–48.

20. Horowitz AM, Kleinman DV, Wang MQ. What Maryland adults with young children know and do about preventing dental caries. *Am J Public Health*. 2013;103:e69–e76.

21. Selwitz RH, Colley BJ, Rozier RG. Factors associated with parental acceptance of dental sealants. *J Public Health Dent*. 1992;52:137–145.

22. Fleisch AF, Sheffield PE, Chinn C, Edelstein BL, Landrigan PJ. Bisphenol A and related compounds in dental materials. *Pediatrics*. 2010;126:760–768.

23. Hiiri A, Ahovuo-Saloranta A, Nordblad A, Mäkelä M. Pit and fissure sealants versus fluoride varnishes for preventing dental decay in children and adolescents. *Cochrane Database Syst Rev.* 2010;17:CD003067.

24. Raadal M, Laegreid O, Laegreid KV, Hveem H, Korsgaard EK, Wangen K. Fissure sealing of permanent first molars in children receiving a high standard of prophylactic care. *Community Dent Oral Epidemiol*. 1984;12:65–68.

25. Singh JA, Chi DL. Primary tooth pit-and-fissure sealant reimbursement rates within state Medicaid programs. *J Dent Res.* 2013;92(Suppl):173304.